

12-06-01

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 1931-6-3

CERTIFICATE OF MAILING OR TRANSMISSION

"Express Mail" mailing label number: ET450405865US

Date of Deposit: *November 1, 2001*

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 CFR, Section 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231 by

*Stephanie Corp*  
Signature

BOX PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

Sir:

Enclosed for filing is the patent application of:

Inventors: John M. Adams, David G. Reuter, Mark L. Mathis, and Scott J. Wolf

Title: FOCUSED COMPRESSION MITRAL VALVE DEVICE AND METHOD

Including:

- ☒ 1. A specification including claims consisting of 31 pages.
- ☒ 2. Drawings consisting of 5 sheets.
- ☒ 3. Declaration and Power of Attorney.

Additionally:

- ☒ 4. An assignment of the invention to Cardiac Dimensions, Inc. is attached.
- ☒ 5. Applicant claims small entity status.
- ☒ 6. A filing date in accordance with 37 CFR 1.10 is requested (Express mail certificate is part of this transmittal letter).

X 7. COMPUTATION OF FEE

Claims	Number Filed	Number Extra	Basic Fee \$740/\$370
Total	65 -20=	45	x\$18/\$9= \$405.00
Indep.	5 - 3=	2	x\$84/\$42= \$84.00
Multi Dep.	xxx	xxx	x\$260/\$130=

TOTAL Filing Fee: \$859.00

Assignment Recording Fee (\$40.00): \$40.00

- X 8. Check No. 17847 for \$859.00 for filing fee is enclosed.  
X 9. Check No. 17848 for \$40.00 for assignment fee is enclosed.  
   10. No fee is enclosed at this time.  
X 11. Charge any additional fees to Deposit Account No. 07-1897.  
X 12. A copy of this letter is enclosed.  
X 13. A postcard is enclosed as acknowledgment of receipt of this application.

Respectfully submitted,

GRAYBEAL JACKSON HALEY LLP

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